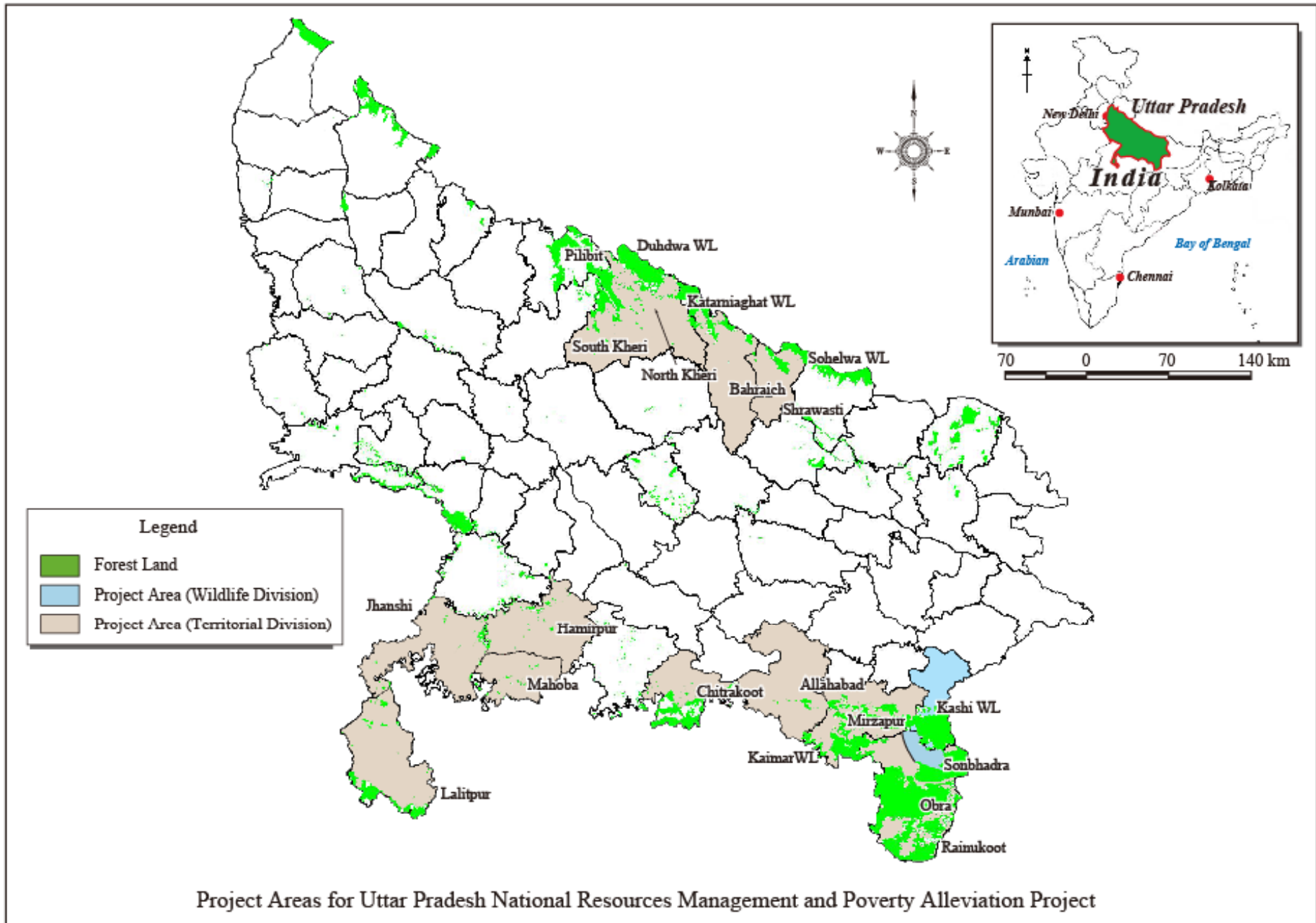


*Overview of the Uttar Pradesh
Participatory Forest
Management and Poverty
Alleviation Project & Role of
PNGO's in the Project*



Project Goal and Objectives

- Project Goal:
 - Participatory rehabilitation and management of degraded forests
 - Enhancement of livelihood of the local people
- Objectives:
 - To restore degraded forest and to augment forest resources;
 - To secure sustainable forest management by improving forest administration, community organisations and other stakeholders;
 - To conserve and better manage the wildlife; and
 - To improve the income of target forest dependents and their livelihood options

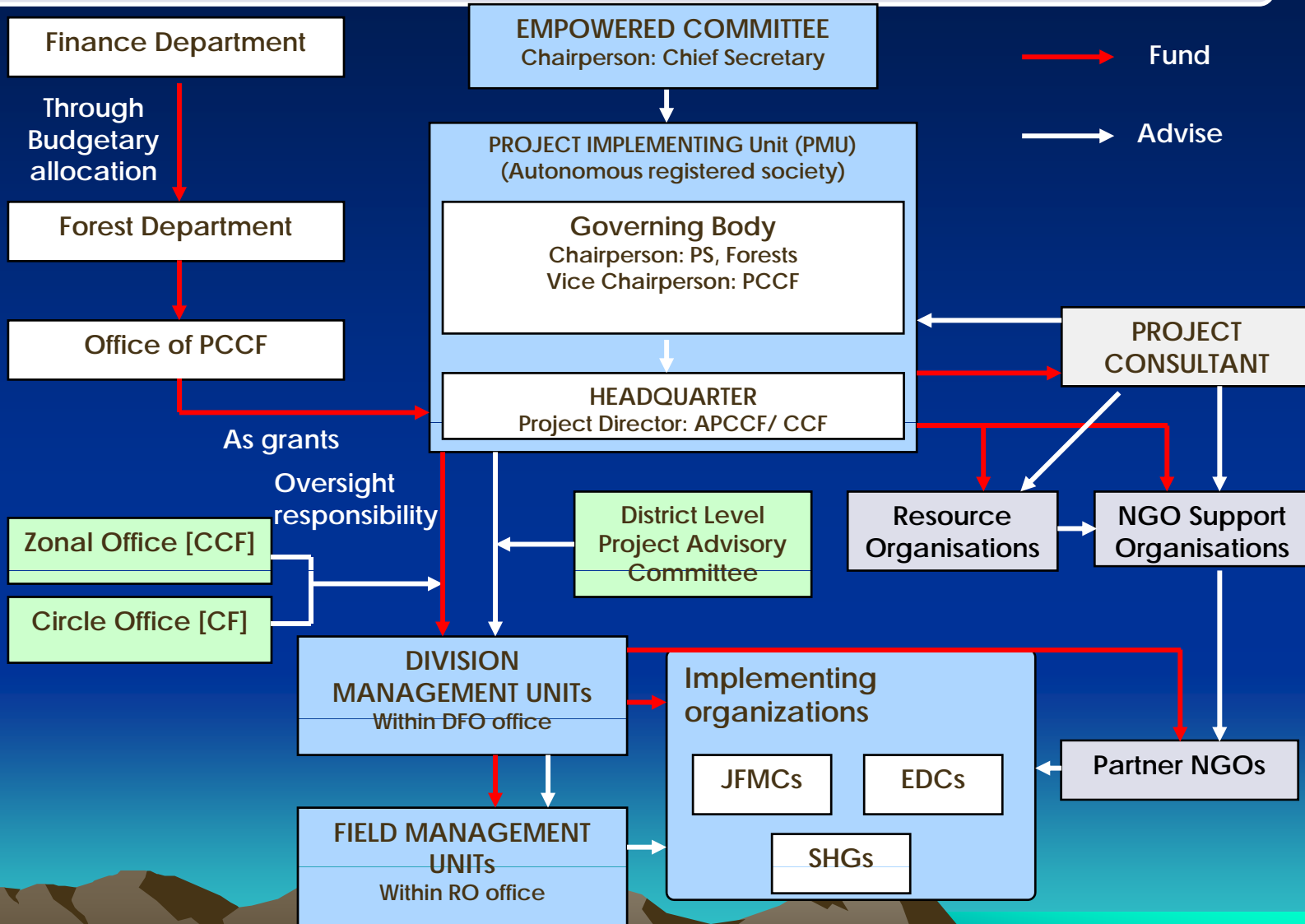
Basic Approach

- Adoption of Joint Forest Management (JFM) and Eco-Development (ED)
- Emphasis on non-wood forest produce (NWFP)
- Non-forestry activities as an integral part of forest management
- Capacity building and ensuring sustainability

Institutional Arrangement for Project Implementation

- Empowered committee Chaired By Chief Secretary GoUP
- Governing Body Chaired by Principal Secretary (Forests)
- Project Management unit
- Executive Committee Chaired by CPD ,PMU
- District Advisory Committee Chaired by D.M.
- Divisional management Unit (20 in nos.)
- Field management unit (101 in Nos.)

Institutional Linkages for UP-PFMPAP



Components of the project

- Preparatory Works
- Institutional strengthening of PMU, DMUs, FMUs
- Capacity Building of NGOs, JFMCs, EDCs, SHGs
- JFMCs, EDCs Community Development and Livelihood security enhancement
- Departmental forest area development and management
- JFM forest area Development and management
- Wildlife Conservation and Management
- Survey and Research
- Communication and Publication
- Monitoring and Evaluation
- Phase out /Phase in Works
- Consulting services

Project Component

Preparatory Work

Institutional Arrangement

Soil Survey

Site Selection

Demarcation, Survey & Mapping

Preparation of Guidelines, Manuals & Handbook

Supporting Comp.

Survey & Research

Communication & Publication

Main Component

Forest Area Development (JFM Mode)

Forest Area Development (Non-JFM Mode)

Wildlife Conservation & Management

Community Development & Livelihood Improvement

Supporting Comp.

Institutional Strengthening

Capacity Building Of JFMC/EDC/SHG

Monitoring and Evaluation

Phase-out/Phase-in Work

Consulting Services

(1) Preparatory Works

- Village Selection
- Site Demarcation, Survey & Mapping for 800 JFM & 140 Eco- development areas
- Preparation of Guidelines, Manual and Handbooks

(2) Institutional Strengthening of PMU/ DMUs/FMUs

- Infrastructure Development
- Capacity Building of Forestry Personnels
- Annual review meeting at Divisional Level
- Annual review meeting at State level

(3) Capacity Building of NGOs/ JFMC/EDDC/SHG

Capacity Building of NGOs

- Orientation Programmes
- Training on community organising and micro planning.
- Training on JFMC and EDC Management
- Training on preparation of Annual Implementation Planning
- Training on Self Help Group Formation
- Training on Income Generating Activities
- Training on Livelihood Security Enhancement

Capacity Building of JFMCs

- Training on Micro planning and Annual Implementation Planning
- Training on JFMC Management
- Exposure Trips and Exchange Visits

Capacity Building of EDCs

- Training on Micro Plan and Annual Action Planning
- Training on EDCs Management
- Exposure trips and Exchange Visits

Capacity Building of SHGs

- Training of Group Formation and Internal Saving
- Training of Business Planning
- Training of Income Generating Activities and Business Management
- Exposure Trips

(4) JFMC / EDC Community Development & Livelihood Improvement

Activities to be Performed

- Community Organising
- Nomination of animators
- Micro-planning
- SHG formation
- Income generating activities
- Livelihood Security Enhancement

NGO Support Organisation

- Procurement of 20 NGO Support Organisation for each DMU
- NGO Support Organisation have
 - Part time Community Organisation expert
 - Part time Micro -enterprise development expert
 - Full time Livelihood Support expert
 - Part time Office Assistant

Partner NGO

- 56 Partner NGO for JFMC/EDC to be recruited by PMU
- One partner NGO for 12-20 JFMCs/EDC
- Main work will be to assist FMU on non-technical aspects of forestry matters.
- Partner NGO will have
 - Full time Community Organizer
 - Full time First Cluster Level Worker
 - Full time Second Cluster Level Worker
 - Part time office Assistant

Specific Role of Partner NGO

- Finalization of target villages
- Community Organizing
- Enhancement of Organizational capacity of JFMC/EDC/SHGs
- Micro-planning and annual implementation planning by JFMCs/EDCs
- Community Development and Livelihood Activities/Micro Enterprise Development
- Project management and administration by JFMCs/EDCs
- To carry out any other project tasks given by the NSO and DMU
- To produce reports and financial statement as per the instruction of the DMU with assistance from NSO.

Job Description of Community Organizer

- Mobilization of the community for JFMC/EDC/SHG
- Facilitate Micro Planning
- Work in cooperation with first cluster level worker and the second
- Regular Follow up with the newly formed JFMCs/EDCs (facilitation of the EC meeting/ record keeping/ problem solving/ financial management/ networking)
- Help implement entry point activities
- Financial monitoring of the JFMCs/ EDCs
- Regular report to the concerned officers as a team leader
- Any other tasks directed by the project authorities

Job Description of First Cluster Level Worker

- Support the work of Community Organizer and second cluster level worker
- Provide assistance implementing entry point activities
- Facilitate Micro Planning
- Regular Follow up with the newly formed JFMCs/EDCs (facilitation of the EC meeting/ record keeping/ problem solving/ financing management/ networking)
- Help implement entry point activities
- Financial monitoring of the JFMCs/EDCs
- Any other tasks directed by the project authorities

Job Description of Second Cluster Level Worker

- Support the work of community organizer and first cluster level worker
- Support Micro Planning
- Provide assistance in implementing entry point activities
- Provide technical assistance to IGA activities/ SHG management
- Regular follow up with the SHGs/ SHG consortium (facilitate EC meeting/record keeping/ problem solving/ financial management/ networking)
- Monitoring of the SHG performance
- Establishment of SHG consortium
- Any other tasks directed by the project authorities

Animators

- One Animator for one JFMC/EDC.
- Animator to be selected by FMU and PNGO.
- Animator for fixed monthly honorarium of Rs. 1500/-
- Must be educated youth of the village.
- First year honorarium to be paid by DMU/FMU.
- Honorarium of second year onward to be paid by concerned JFMC/EDC Provision should be made in microplan.

Responsibilities of Animators

- Will act as Extension officer of PNGO.
- Facilitate Community Organizing and Mobilization.
- Assist FMU and JFMC/EDC for Project Management.
- Promote micro-finance and micro-enterprise activities through SHGs.
- Mobilize the SHG members for their capacity building programme.

Resource Organizations

- Marketing Research (3-region wise)
- Small & Medium Enterprise(SME) / Microfinance Institution(MFI) (3-region wise)
- Product profiling, product development protocol and trade linkages (3- product wise)
- Rural business plan / Hubs (3- Income Generating Sector)

Income Generating Activities

- Forest based /Natural Resource Based/ Non-Natural Resource Based IGA/ Micro-enterprise Activities
- 2680 SHG/ Micro-Enterprise
- Marketing Support
- Seed fund of Rs. One lacs for one SHG
- Formation of Consortium of SHG's
- Provision for SHG Consortium Coordinator
- Support grant of Rs. 5 lacs for each Consortium of SHG's

Support for Livelihood Security Enhancement

- Improvement in Basic Human needs
- Target poor and socially disadvantaged groups in village
- Activities are
 - Medical Health care camp
 - Promotion of indigenous Social Medical Practitioners
 - Development of peer health group
 - Development of village agricultural extension officer
 - Development of village masons
 - Micro Insurance
 - Extension of school buildings
 - Construction of JFMC/EDC office building
 - Improvement of link roads
 - Biogas plants, improved stoves, solar lamps, drinking facilities etc.

(5) Departmental Forest Area Development & Management

(6) JFM Forest Development and Management

Decentralised Nursery & Planting Stock Production work

- One Decentralised Nursery for three JMFC (Total 289)
- Producing planting stock (8.67Lacs)
- Nursery development as a part of IGA
- DMU/FMU staff to provide necessary skills/ techniques

Forest Improvement very dense forest

- General Improvement operation– 4671 Ha.
- Work as per approved micro-plan

Forest Improvement in Moderately Dense Forest

Gap plantation including NWFP	3996 Ha.
Enrich planting cum stand improvement	10588 Ha.
Bamboo plantation / cultural operations	2076 Ha.
Work as per approved micro plan	

Drainage Line Treatment

Tarai type model	1650 Ha.
Bundelkhand type model	6200 Ha.
Vindhyan type model	2075 Ha.

Fire Protection

- Fire Patrol work by JFMC
- Creation and maintenance of fire lines
- Work to be done by JFMC

Forest Development Targets in the Project (in Ha.)

Division	Departmental Mode	JFM Mode	Total
Pilibhit	1200	500	1700
N Kheri	1200	1600	2800
S Kheri	1200	1300	2500
Baharaich	1200	1000	2200
Shrawasti	1300	1600	2900
Jhasi	1300	3400	4700
Lalitpur	1400	4400	5800
Mahaba	1000	1600	2600
Hamirpur	1400	800	2200
Chitrakoot	1400	2200	3600

Cont....

Division	Departmental Mode	JFM Mode	Total
Allahabad	0	2300	2300
Mirzapur	0	11400	11400
Obra	1200	4800	6000
Sonbhadra	1500	4700	6200
Renukoot	1500	12000	13500
Dudwa	0	0	0
Katarniaghat	400	400	800
Sohelwa	1300	0	1300
Kashi	1200	5800	7000
Kaimoor	500	500	1000
Total	20200	60300	80500

(7) Wildlife conservation & Management

- Management of Protected Areas
- Eco-tourism development
- Conservation of Biodiversity hot spots outside PAs
- Eco-development

Project Component 8: Survey and Research

Sub-Component	Major Work Quantity
Survey and research for forest development and management	<ul style="list-style-type: none"> • 3,600 new candidate plus tree, maintenance of 3,660 old candidate plus tree • Seedling orchard (27 ha) • Seed production area (36 ha) • Standing stock sample survey (82 plots)
Survey and research for wildlife conservation and management	<ul style="list-style-type: none"> • Survey and study of hotspots / community reserves (2 sites)
AR-CDM	<ul style="list-style-type: none"> • Land Eligibility of project area(approx.53000hac) • Project Boundary • Socio-economic /Natural environment survey • Biomass Surveys (baselines, Eexisting plantations) • Project GHG emission survey
	<p style="text-align: center;">For Official Use Only</p>

Project Component 9: Communication and Orientation

Sub-Component	Major Work Quantity
Children's forest	<ul style="list-style-type: none">• Plantation and environmental education at 650 schools in 6 cities
Publication	<ul style="list-style-type: none">• Newsletter per quarter• Leaflets• Brochures• Annual report per year

Project Component 10: Monitoring and Evaluation (M&E)

Sub-Component	Major Work Quantity
Progress monitoring	<ul style="list-style-type: none">• Monthly, quarterly and annual M&E
Baseline survey, mid-term and terminal impact assessment	<ul style="list-style-type: none">• Satellite image analysis of project areas• Sample survey on standing stock• Inventory of fauna and flora of target PAs• Socio-economic baseline survey and periodical socio-economic impact assessment

Project Component 11: Phase-Out / Phase-In Works

Sub-Component	Major Work Quantity
Issuance of project completion certificate	<ul style="list-style-type: none">• All completed JFMCs, EDCs and other contractors
Preparation of Phase-Out / Phase-In Plan	<ul style="list-style-type: none">• All target JFMCs and EDCs
Integration of PMU, DMUs, FMUs and FDA	<ul style="list-style-type: none">• All offices concerned

Project Component 12: Consulting Services

Sub-Component	Major Work Quantity
International project consultants	<ul style="list-style-type: none"> • Team leader • Project Monitoring and Evaluation Specialist • NGO Contract Management Specialist • JFMC/EDC Contract Management Specialist • Capacity Development Specialist
National project consultants	<ul style="list-style-type: none"> • Community Organizing and Micro-planning Specialist • Institutional Development Specialist • M&E and Impact Assessment Specialist • MIS Specialist • GIS Specialist • IGA and SHG Support Specialist • NWFP Specialist • Training Program Developer • Wildlife Conservation Specialist

Thank You